



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Docket No: H0004442
WILLI J. SMITH)
For:) Group Art Unit : To be assigned
HEAT EXCHANGER)
THERMAL INDICATOR)
Serial No.: 10/737381) Examiner: To be assigned
Filed: December 12, 2003)

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Hon. Commissioner:

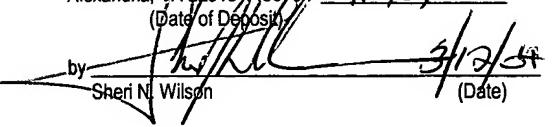
In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §1.98, Applicants hereby notify the U.S. Patent of the documents listed below and identified on the attached form PTO/SB/08 for review by the Examiner during the examination of the above referenced application. Copies of the documents are provided for the Examiner's use.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17 (p) is required.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 3/12/04

(Date of Deposit)

by 
Sheri N. Wilson

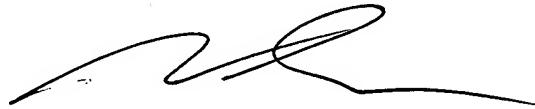
3/12/04
(Date)

U.S. PATENT DOCUMENTS		
US PATENT	DATE	NAME
1345767	07/06/1920	Hammond
2932971	04/19/1960	Moore et al.
3518961	07/07/1970	Kovac
3845662	11/05/1974	Surgina et al.
3872821	03/25/1975	Harada
4187799	02/12/1980	Zwarun
4516520	05/14/1985	Whaley
4664056	05/12/1987	Jehanno
4469452	09/04/1984	Sharpless et al.
5046447	09/10/1991	Steinke et al.
5380092	01/10/1995	Alain
5482000	01/09/1996	Ward
5954010	09/21/1999	Bullock et al.
977419	11/29/1910	Meier

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

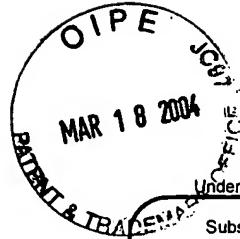
Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO/SB/08 be returned in accordance with MPEP §609.

Respectfully submitted,



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

Complete if Known

Application Number	10/737381
Filing Date	12-12-2003
First Named Inventor	Smith
Art Unit	tbd
Examiner Name	tbd

Attorney Docket Number H0004442

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 1345767	07/06/1920	Hammond	
		US- 2932971	04/19/1960	Moore et al.	
		US- 3518961	07/07/1970	Kovac	
		US- 3845662	11/05/1974	Surgina et al.	
		US- 3872821	03/25/1975	Harada	
		US- 4187799	02/12/1980	Zwarun	
		US- 4516520	05/14/1985	Whaley	
		US- 4664056	05/12/1987	Jehanno	
		US- 4469452	09/04/1984	Sharpless et al.	
		US- 5046447	09/10/1991	Steinke et al.	
		US- 5380092	01/10/1995	Alain	
		US- 5482000	01/09/1996	Ward	
		US- 5954010	09/21/1999	Bullock et al.	
		US- 977419	11/29/1991	Meier	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.